1 UNITED STATES DISTRICT COURT 2 CENTRAL DISTRICT OF CALIFORNIA 3 4 WESTERN DIVISION 5 CASE NO. CV 03-08023-AHM CAPTAIN H. RAY LAHR, 6 7 Plaintiff. AFFIDAVIT OF RICHARD S. BREUHAUS 8 ٧. 9 NATIONAL TRANSPORTATION 10 SAFETY BOARD, et al., 11 Defendants. 12 13 14 Richard S. Breuhaus, having been duly sworn, deposes and states as follows: 15 I am Chief Engineer of Air Safety Investigation for The Boeing 16 Company. I am Boeing's primary contact for the National Transportation Safety 17 Board concerning NTSB investigations and I coordinate the technical support that 18 Boeing gives to the NTSB upon request. 19 I am over the age of 21 years and competent to testify herein. The 2. 20 facts stated herein are based on my personal knowledge, on my review and 21 familiarity with Boeing's business records that are maintained in the ordinary course 22 of business, and on my discussions with Boeing employees knowledgeable about 23 and responsible for the documents and activities discussed herein. I would so 24 testify if called to do so. 25 Shortly after the July 17, 1996 accident involving TWA Flight 800, a 26 Boeing Model 747-100 aircraft, Boeing offered its assistance to the National 27 Transportation Safety Board and was made a party to that investigation. Boeing 28

051404/1304[SL041340313]

- 5. During the TWA Flight 800 investigation, the NTSB requested technical assistance from Boeing concerning the mass properties, aerodynamic and engine characteristics of the Boeing Model 747-100 aircraft. In response to that request, Boeing created NTSB Records 5-9 and 12, discussed below.
- 6. I have reviewed the document identified as NTSB Record 5 and have discussed it with the primarily responsible Boeing engineer. This document contains proprietary data concerning the mass properties (aircraft gross weight, center of gravity, and pitch and roll inertias) of the Boeing Model 747-100 aircraft in two configurations: the baseline configuration and a hypothetical configuration in which the aircraft's forward fuselage has been removed. This is business and technical information that Boeing does not customarily disclose without appropriate restrictions on use and further disclosure of the information by the recipient.
- 7. I have reviewed the four-page document identified as NTSB Record 6 and have discussed it with the primarily responsible Boeing engineer. This presents in graph and table format the lift coefficient and pitching moment coefficient of the

- 8. A competent engineer with access to the hypothetical configuration represented in the graphs and tables in NTSB Record 6 could determine the baseline lift coefficient and pitching moment coefficient for the Boeing Model 747-100 aircraft.
- 9. I have reviewed the document marked NTSB Record 7 and have discussed it with the primarily responsible Boeing engineer. This graph shows the corrected net thrust at maximum power lever angle for the engines used on the TWA Flight 800 Model 747-100 aircraft. It contains Boeing proprietary information and also third-party proprietary information that is subject to a Proprietary Information Exchange Agreement between Boeing and one of its suppliers.
- 10. I have reviewed the five-page document identified as NTSB Record 8 and have discussed it with the primarily responsible Boeing engineer. This record presents in graph and table format the lift coefficient, pitching moment coefficient, and drag coefficient of the Boeing Model 747-100 aircraft in two configurations: the baseline configuration and a hypothetical configuration in which the aircraft's forward fuselage has been removed. NTSB Record 8 contains Boeing proprietary information; each page of NTSB Record 8 is marked "Boeing Proprietary" and "Preliminary."
- 11. A competent engineer with access to the hypothetical configuration in the graphs and tables in NTSB Record 8 could determine the baseline lift coefficient, pitching moment coefficient, and drag coefficient for the Boeing Model 747-100 aircraft.

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- 12. I have reviewed the document identified as NTSB Record 9 and have discussed it with the primarily responsible Boeing engineer. This document is a preliminary graph of the free response of a Boeing Model 747-100 aircraft upon sudden loss of its forward fuselage; it shows the possible result of changes in mass properties, aerodynamic characteristics, and thrust settings. Boeing proprietary information and third-party proprietary information is included in this preliminary graph and can be determined from this preliminary graph. The third-party proprietary information that is incorporated into this graph is subject to a Proprietary Information Exchange Agreement between Boeing and one of its suppliers.
- 13. I have reviewed the document identified as NTSB Record 12 and have discussed it with the primarily responsible Boeing engineer. This document records a string of emails between Boeing and the NTSB in which the NTSB requests and Boeing provides the possible ranges for the proprietary data that Boeing provided to the NTSB in NTSB Records 5-9. This is business and technical information that Boeing does not customarily disclose without appropriate restrictions on use and further disclosure of the information by the recipient.
- 14. NTSB Records 5-9 and 12 contain proprietary information about the baseline mass properties, aerodynamic and engine characteristics of the Boeing Model 747-100 aircraft that Boeing does not customarily disclose publicly. These are Boeing trade secrets because they have independent economic value derived from not being ascertainable or available for use by other parties and are subject to efforts by Boeing to maintain their secrecy. Boeing's competitors, customers, and third parties with free access to this baseline date could use it in designing, manufacturing, testing, and marketing their own aircraft, other products, and services, thereby causing competitive harm to Boeing.
- 15. I am informed and believe that Boeing developed the baseline mass properties, aerodynamic and engine characteristics of the Boeing Model 747-100

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aircraft at great expense using Boeing's proprietary wind tunnel, flight test, and simulator data. These data are maintained in Boeing's training simulator database, and are presented in or can be derived using NTSB Records 5-9 and 12. Boeing uses the proprietary information in its training simulator database to operate flight simulators for various purposes, including flight training and engineering. These are aspects of Boeing's current business. As part of its business, and for a fee, Boeing licenses its proprietary simulator data to airlines and other third parties to operate flight simulators for similar purposes, sometimes in direct competition with Boeing. I am informed and believe that these licenses restrict unauthorized use or disclosure of Boeing's proprietary information.

- Boeing voluntarily provided NTSB Records 5-9 and 12 to the NTSB to 16. assist it in investigating the TWA Flight 800 accident. Because public disclosure of these trade secrets and other proprietary information could cause Boeing competitive harm, Boeing provided them to the NTSB under the belief and understanding that the NTSB would disclose it only if necessary for the health or safety of the flying public, and, if disclosure were necessary, that the NTSB would take all measures available to lessen the resulting competitive harm to Boeing.
- 17. NTSB Records 5-9 and 12 include the names of the Boeing employees providing the technical assistance to the NTSB. NTSB Record 9 also includes the telephone number and signature of a Boeing employee. NTSB Record 12 also includes the email addresses for Boeing employees. For commercial and privacy reasons discussed more fully in paragraph 21 below, Boeing does not customarily publicize the names or other direct contact information of all of its employees who may provide technical assistance to the NTSB.
- 18. I have reviewed the document identified as NTSB Record 13 and have discussed it with the primarily responsible Boeing engineer. This document contains the initial comments of Boeing employees concerning a draft of the NTSB's TWA Flight 800 Trajectory Study. I am informed and believe that Boeing

received the NTSB's draft Trajectory Study in its role as a party to the NTSB's
TWA Flight 800 investigation and that Boeing was asked to review the draft ar
provide its technical and other comments to the NTSB. Reviewing and
commenting on draft reports is a standard activity for parties to NTSB
investigations. During an investigation, regulations bar the parties from unilate
disclosing the draft reports, which do not represent a final decision by the NTS
Once final, these reports contribute to the NTSB's deliberative process directed
determining the probable causes of aviation accidents.
19. NTSB Record 13 includes the names the Boeing employees provide
the technical assistance to the NTSB, and one of their signatures. For commerc
and privacy reasons discussed more fully in paragraph 21 below, Boeing does n
customarily publicize the names or other direct contact information of all of its
employees who may provide technical assistance to the NTSB.
20. Boeing engineers and technical staff create, access, and use trade

comments to the NTSB. Reviewing and s a standard activity for parties to NTSB estigation, regulations bar the parties from unilaterally hich do not represent a final decision by the NTSB. ibute to the NTSB's deliberative process directed at es of aviation accidents.

- includes the names the Boeing employees providing NTSB, and one of their signatures. For commercial more fully in paragraph 21 below, Boeing does not es or other direct contact information of all of its echnical assistance to the NTSB.
- and technical staff create, access, and use trade secrets and other proprietary information that have independent economic value to Boeing because they are not freely ascertainable or publicly available for use by other parties. Boeing therefore has procedures to limit disclosure and dissemination of this information. Boeing requires its employees to mark certain types of proprietary information as "Boeing Proprietary" and to protect it from unauthorized use and disclosure by appropriate agreements. Boeing employees are instructed not to disclose Boeing's business and technical information without appropriate restrictions on use and further disclosure of the information by the recipient. Boeing employees are instructed to treat third-party proprietary information in accordance with the agreement under which it was furnished to the company. In addition, Boeing employees enter into a Proprietary Information and Invention Agreement, which safeguards proprietary information belonging either to Boeing or to a third party that has authorized Boeing to use its proprietary information.

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21. Boeing does not customarily publicize the names and contact
information of all of its employees who may provide technical assistance to the
NTSB, but instead assigns responsibility for third-party communications to a few
employees. This helps to protect Boeing's proprietary information from accidental
or unauthorized disclosure. It also protects Boeing's employees from burdensome
or improper contact by third parties whose interests are adverse to Boeing,
including third parties seeking to benefit freely from the substantial time, money,
and other resources that Boeing invests in educating and training its personnel. Not
publicizing the names and direct contact information of every Boeing employee
involved in supporting an NTSB investigation also protects the personal privacy of
Boeing's employees by reducing the risk of third parties contacting them directly
about or publicizing their involvement in high-profile NTSB investigations.
DATED: May 14 , 2004
By Richard S. Breuhaus
SUBSCRIBED AND SWORN to before me this 14th day of
Notary Public State of Washington ARLENE TERRY ADDINGTON My Appointment Expires Feb 10, 2007 ARLENE TERRY ADDINGTON (Print or Stamp Name of Notary) NOTARY PUBLIC in and for the State of Washington, residing at: Seattle, WA My Commission expires: 10-FEB-07

PROOF OF SERVICE BY MAILING

I am over the age of 18 and not a party to the within action. I am employed by the Office of United States Attorney, Central District of California. My business address is 411 West Fourth Street, Suite 8000, Santa Ana, California 92701.

On May 19, 2004, I served the foregoing: NOTICE OF FILING AND FILING OF NTSB'S VAUGHN INDEX by special messenger described in this Certificate was made; that on May 19, 2004 I hand delivered to a duly constituted messenger service with instructions to deliver to the following named individuals:

JOHN F. DUNN, ESQ. 1601 Cloverfield Blvd. Second Floor, South Tower Santa Monica, CA 904-4-4084

I declare under penalty of perjury under the laws of the United States of America that the foregoing is true and correct.

I declare that I am employed in the office of a member of the bar of this court at whose direction the service was made.

Executed on: May 19, 2004 at Santa Ana, California.

Melinda McDonnell